

NAUPA Codes (Effective Report Year 2022)

CODE	DESCRIPTION	YEARS		CODE	DESCRIPTION	YEARS
	Accounts				Health Savings Account	
ACO1	Checking Accounts	3		HS01	Health Savings Account	3
AC02	Savings Account	3		HS02	Health Savings Account Investment	3
AC03	Matured CD & Savings Certificate	3				
AC04	Christmas Club Funds	3			Insurance	
AC05	Money on Deposit to Secure Fund	3				
AC06	Security Deposit	3		IN01	Individual Policy Benef/Claim Pmts	3
AC07	Unidentified Deposits	3		IN02	Group Policy Benefits/Claim Pmts.	3
AC08	Suspense Accounts	3		IN03	Proceeds Due Beneficiaries	3
AC99	Aggregate Acct Balances under \$50	3		IN04	Proceeds Due for Matured Policies, Endowments and/or Annuities	3
				IN05	Premium Refunds	3
	Checks			IN06	Unidentified Remittances	3
				IN07	Other Amts due Under Policy Terms	3
CK01	Cashier's Checks	3		IN08	Agent Credit Balances	3
CK02	Certified Checks	3		IN99	Aggregate Insurance under \$50	3
CK03	Registered Checks	3				
CK04	Treasurer Checks	3			Traditional IRA, SEP IRA, SARSEP IRA, and SIMPLE IRA	
CK05	Drafts	3				
CK06	State Warrants	1		IR01	Traditional IRA – Cash	3
CK07	Money Orders	7		IR02	Traditional IRA – Mutual Funds	3
CK08	Travelers Checks	15		IR03	Traditional IRA – Securities	3
CK09	Foreign Exchange Checks	3				
CK10	Expense Checks	3			Roth IRA	
CK11	Pension Checks	3				
CK12	Credit Checks/Memos	3		IR05	Roth IRA – Cash	3
CK13	Vendor Checks	3		IR06	Roth IRA – Mutual Funds	3
CK14	Checks Written off to Income	3		IR07	Roth IRA – Securities	3
CK15	Outstanding Official Checks	3				
CK16	CD Interest Checks	3			Mineral Proceeds/Interests	
CK99	Aggregate Checks under \$50	3				
				MI01	Net Revenue Interest	3
	Educational Accounts			MI02	Royalties	3
				MI03	Overriding Royalties	3
CS01	EA - Cash	3		MI04	Production Payments	3
CS02	EA - Mutual Funds	3		MI05	Working Interest	3
CS03	EA - Securities	3		MI06	Bonuses	3
				MI07	Delay Rentals	3
	Court Deposits			MI08	Shut-in Royalties	3
				MI09	Minimum Royalties	3
CT01	Escrow Funds	1		MI99	Aggregate proceeds under \$50	3
CT02	Condemnation Awards	1				
CT03	Missing Heirs Funds	1				
CT04	Suspense Account	1				
CT05	Other Court Deposits incl. Child Support	1				
CT99	Aggregate Deposits under \$50	1				

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CODE	DESCRIPTION	YEARS		CODE	DESCRIPTION	YEARS
	Misc Property				Safe Deposit Box	
MS01	Wages, Payroll	1		SD01	Safe Deposit Box Contents	5
MS02	Commissions	1		SD02	Other Safekeeping	5
MS03	Workers Compensation	3		SD03	Other Tangible Property	5
MS04	Payment of Goods/Services	3				
MS05	Customer Overpayments	3			Trusts/Investments/Escrows	
MS06	Unidentified Remittances	3				
MS07	Unrefunded Overcharges	3		TR01	Paying Agents Accounts	3
MS08	Accounts Payable	3		TR02	Undelivered or Uncashed Dividends	3
MS09	Credit Balances/Accts Receivable	3		TR03	Funds Held in Fiduciary Capacity	3
MS10	Discounts Due	3		TR04	Escrow Accounts	3
MS11	Refunds Due	3		TR05	Trust Vouchers	3
MS13	Unclaimed Loan Collateral	3		TR99	Aggregate Under \$50	3
MS14	Pension/Profit Sharing	3				
MS15	Dissolution/Liquidation	1				
MS16	Misc Outstanding Checks	3			Utilities	
MS17	Misc Intangible Property	3				
MS18	Suspense Liabilities	3		UT01	Utility Deposits	1
MS99	Aggregate Misc under \$50	3		UT02	Membership Fees	1
				UT03	Refunds or Rebates	1
	Securities/Stock			UT04	Capital Credit Distribution	1
				UT99	Aggregate under \$50	1
SC01	Dividends	3				
SC02	Interest (Bond Coupons)	3				
SC03	Principal Payments	3				
SC04	Equity Payments	3				
SC05	Profits	3				
SC06	Funds Paid to Purchase Shares	3				
SC07	Funds for Stocks/Bonds	3				
SC08	Shares of Stock (Post Office Return)	3				
SC09	Cash for Fractional Shares	3				
SC10	Unexchanged Stock of Successor Corp	3				
SC11	Other Certificate of Ownership	3				
SC12	Underlying Shares/Outstanding Cert.	3				
SC13	Funds for Liquidation	3				
SC14	Debentures	3				
SC15	US Government Securities	3				
SC16	Money Markets/Mutual Funds	3				
SC17	Warrants (Rights)	3				
SC18	Matured Bond Principal	3				
SC19	Dividend Reinvestment Plan (DRP)	3				
SC20	Credit Balances	3				
SC99	Aggregate Securities under \$50	3				

Reporting Table

One year Dormancy Period: (Payroll, Wages & Utilities)		
Items that were issued or had a last activity date during:	Due Diligence to be performed no later than	Date to be Reported
07/01/2019 to 06/30/2020	9/1/2021	11/1/2021
07/01/2020 to 06/30/2021	9/1/2022	11/1/2022
07/01/2021 to 06/30/2022	9/1/2023	11/1/2023
07/01/2022 to 06/30/2023	9/1/2024	11/1/2024
*anything prior to 7/1/2019 should have been reported already		

Three Year Dormancy Period:		
Items that were issued or had a last activity date during:	Due Diligence to be performed no later than	Date to be Reported
07/01/2017 to 06/30/2018	9/1/2021	11/1/2021
07/01/2018 to 06/30/2019	9/1/2022	11/1/2022
07/01/2019 to 06/30/2020	9/1/2023	11/1/2023
07/01/2020 to 06/30/2021	9/1/2024	11/1/2024
*anything prior to 7/1/2017 should have been reported already		

Seven Year Dormancy Period: (Money Orders CK07)		
Items that were issued or had a last activity date during:	Due Diligence to be performed no later than	Date to be Reported
07/01/2013 to 06/30/2014	9/1/2021	11/1/2021
07/01/2014 to 06/30/2015	9/1/2022	11/1/2022
07/01/2015 to 06/30/2016	9/1/2023	11/1/2023
07/01/2016 to 06/30/2017	9/1/2024	11/1/2024
*anything prior to 7/1/2013 should have been reported already		

Fifteen Year Dormancy Period: (Travelers Checks CK08)		
Items that were issued or had a last activity date during:	Due Diligence to be performed no later than	Date to be Reported
07/01/2005 to 06/30/2006	9/1/2021	11/1/2021
07/01/2006 to 06/30/2007	9/1/2022	11/1/2022
07/01/2007 to 06/30/2008	9/1/2023	11/1/2023
07/01/2008 to 06/30/2009	9/1/2024	11/1/2024
*anything prior to 7/1/2005 should have been reported already		